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# Synchronization Hardware for Networks of Workstations: Performance vs. Cost

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## Abstract

*Networks of workstations (NOWs) are gaining popularity as lower-cost alternatives to massively-parallel processors (MPPs) because of their ability to leverage high-performance commodity workstations and data networks. However, fast data networks may not suffice if applications require frequent global synchronization, e.g., barriers, reductions, and broadcasts. Many MPPs provide hardware support specifically to accelerate these operations. Separate synchronization networks have also been proposed for NOWs, but such add-on hardware only makes sense if the performance improvement is commensurate with its cost. In this study, we examine the cost/performance trade-off of add-on synchronization hardware for an emulated 32-node NOW, running an aggregate workload of twelve shared-memory, message-passing, and data-parallel workloads. For low-latency messaging (e.g., ~10 µs), add-on hardware is cost-effective only if its per-node cost is less than 8% of the base workstation cost. For higher-latency messages (e.g., ~100 µs), add-on hardware is cost-effective if it costs less than 23% of the base cost. At these higher latencies and typical prices, a 32-node NOW with an add-on synchronization network is cost effective for 10 of the 12 benchmarks, compared to a uniprocessor with the same memory capacity.*

**Keywords:** synchronization, networks of workstations, massively-parallel processors, cost/performance.

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## 1 Introduction

Networks of workstations (NOWs) are gaining popularity as lower-cost alternatives to the current generation of massively-parallel processors (MPPs). NOWs exploit commodity *systems*—entire workstations—rather than individual components. In addition, NOWs leverage commodity network technology to further reduce engineering cost. While current local-area network performance is poor by MPP standards (latencies in the 100s to 1000s of microseconds and bandwidths in the 10s to 100s of Mbits/second), emerging networks promise better performance. For example, repackaged multicomputer interconnects, such as Myrinet [4] and Shrimp [3], may yield up to two orders-of-magnitude performance improvement over previous-generation local-area networks.

However, high-performance CPUs and data networks may not be sufficient for NOWs to achieve good speedups for all existing parallel applications. Some applications require frequent synchronization to coordinate computation (e.g., barriers), to compute global results (e.g., reductions), or update common data structures (e.g., broadcasts). To address the requirements of these applications, many MPPs—the Cray T3D [13], the Fujitsu VPP500 [29], and the Thinking Machines CM-5 [14]—provide explicit hardware support for global synchronization.

NOWs can also employ synchronization hardware in the form of a separate add-on synchronization network. For example, Dietz, et al., have developed barrier hardware which connects to the standard Centronics parallel port of an IBM-compatible PC [9]. Hall and Driscoll have proposed a synchronization network for Sun workstation clusters that supports barriers, 64-bit reductions, and broadcasts [11]. Shang and Hwang have proposed barrier hardware for cluster-based multiprocessors, including workstation clusters [25].

However, whether or not such add-on synchronization hardware is cost-effective depends upon its cost, the cost of the base NOW, and the performance improvement which the synchronization hardware provides. For example, if the base NOW costs $20,000 per node (including the network) and the add-on synchronization hardware costs $2000 per node, then performance must improve by at least 10% for the synchronization hardware to be cost-effective [32]. While individual applications may improve this much, or more, the add-on hardware is only cost-effective if the aggregate performance of the NOW's entire workload improves by 10%.

In this paper, we examine the cost/performance trade-off of add-on synchronization hardware for a NOW. We focus specifically on global synchronization (e.g., barriers), not pair-wise synchronization (e.g., locks). We study a range of benchmarks to understand which existing applications will benefit—and by how

much—from explicit hardware synchronization support. We examine the synchronization requirements for three important classes of applications: shared-memory, message-passing, and data-parallel. We consider two alternative synchronization networks: a low-cost version that supports only simple barriers and single-bit AND operations, called *HW-1*, and a higher-cost version which additionally supports integer reductions and broadcasts, called *HW-All*. For these applications, we calculate the break-even cost—the price at which synchronization hardware becomes cost-effective.

We use a Thinking Machines CM-5 to model a NOW both with and without hardware synchronization support and use the measured performance improvement to calculate the cost/performance break-even point. We study the effects of two different network latencies: a "fast data network," modeled by native CM-5 messages (~10 µs latency), and a "slow data network," modeled by CM-5 messages delayed by 100 µs using a relay-node technique described in Section 2.3. For our emulated 32-node NOW, we find the following results.

- For our aggregate workload—a weighted average of nine shared-memory, message-passing, and data-parallel applications—add-on synchronization hardware for a NOW with a fast data network is only cost-effective if it costs less than 8% of the base system cost, or $1700 per node for a base per-node cost of $20,000. For a NOW with a slow data network, add-on hardware is cost-effective if it costs less than 23% of the base system cost, or $4500 per node.
- Individual applications may benefit much more from synchronization hardware. Among our applications, the HW-1 hardware improves performance by up to 54% on a NOW with the fast data network and up to a factor of 3 with the slow data network. The HW-All hardware yields further improvement, increasing performance by factors of up to 2.5 and 3.6, for the two network latencies. Some applications can be restructured to avoid using global synchronization operations, decreasing the performance benefit. However, for the one such code we examined in detail, *water* [26], the barrier version is 58% faster than the (original) locking version even *without* hardware barrier support.
- Other applications cannot be restructured as easily to avoid global synchronization, such as the *Wisconsin Wind Tunnel (WWT)* [21]. While most applications synchronize to ensure that messages have been delivered, *WWT* frequently synchronizes to ensure that *no* messages are in flight. For *WWT*, the HW-1 network improves performance up to 54% on a NOW with the fast data network and up to a factor of 3 with the slow data network; the HW-All network improves performance by up to 60% and a factor of 3.6.
- Finally, a $2,000/node add-on synchronization network can make a 32-node NOW with slow data network more cost-effective than a uniprocessor system with the same total memory capacity. Assuming current list prices, 11 of the 12 workloads are more cost-effective with the add-on synchronization hardware, versus only 8 workloads without it.

Our results show that global synchronization hardware can be a cost-effective addition to a NOW for some workloads. The benefit is relatively greater for slower data networks, since for each synchronization operation the hardware eliminates *O(log N)* messages latencies from the critical path. On the other hand, nearly half our applications received no benefit from synchronization support; additional hardware is not justified to support workloads dominated by these applications. A key advantage of add-on synchronization hardware—as opposed to the integrated synchronization hardware in most MPPs—is that only those people that can benefit from it need to buy it.

The remainder of the paper is organized as follows. Section 2 presents the implementation and performance of the synchronization operations which our applications require. Section 3 presents our benchmark suite and the benchmarks' synchronization requirements. Section 4 presents our experimental performance results. Section 5 presents our model for determining the cost/performance break-even point, estimates of system cost, and the cost/performance break-even points of our applications. Section 6 discusses related work, and Section 7 summarizes our conclusions.

# 2 Synchronization implementations

The applications in this study require a variety of global synchronization operations of differing complexities. The simplest operations include simple barriers and single-bit AND reductions. The more complex operations involve many-to-one ADD reductions which deliver the result to a single node, ADD and MAX reductions which deliver the result to all nodes, and broadcasts. In this section, we discuss the implementation and performance of these synchronization operations, both with and without hardware support. We defer the discussion of how our applications use these operations until Section 3.

## 2.1 Software synchronization

Synchronization operations can be implemented in software using explicit messages. For example, *N* processors can perform a barrier synchronization simply by sending *arrival* messages to a designated master node. Once the master receives all the arrival messages, it sends *wakeup* messages to all processors, allowing them to proceed. Obviously, this barrier is very inefficient because of contention at the master. Assuming sufficient network bandwidth, the message latencies can overlap, but the master's overheads must serialize.

The overheads cannot be eliminated, but their impact can be lessened by distributing them among the processors. Tournament barriers [12, 15] distribute these overheads by having processors perform the arrival phase in pairs ("radix-2" combining), forming a binary tree. With sufficient network bandwidth, the wakeup phase can also be performed using a fan-out tree [15]. For very large systems or high messaging overheads, higher radix (e.g., radix 4) trees are superior.

Butterfly barriers [7] eliminate a further factor of 2 by effectively performing multiple tournament-arrival binary trees in parallel, with each processor at the root of a different arrival tree. The butterfly barrier has the potential for better latency than the tournament barrier, but it sends $O(N \log_k N)$ messages for a fixed radix $k$, while the tournament barrier sends only $O(2 \log_k N)$ messages. The butterfly barrier will then outperform the tournament barrier only if there is sufficient network bandwidth. Our emulated NOW satisfies this assumption, so we will concentrate on the butterfly barrier in the remainder of this paper.

Reductions and broadcasts can also be implemented via data messages. Reductions which deliver the result to all nodes are essentially simple barriers which also send data, and hence we implement them with a butterfly-style combining pattern. Reductions which deliver the result to a single node and broadcasts are implemented via unbalanced trees [8] in which the fanout of nodes is set according to the latency and overhead of messages.

## 2.2 Hardware synchronization

Add-on synchronization hardware for a NOW consists of two separate components: the workstation interface and the synchronization network itself. Modern workstations provide a range of possible interfaces, each with different latency, bandwidth, and cost considerations. At the low-end, most workstations provide a parallel port that can be used for low-bandwidth operations such as simple barriers. Parallel ports typically require system calls for user-level access, but in some systems they can be memory-mapped directly into user space [9]. Higher performance, at higher cost, can be obtained by interfacing to the workstation's I/O bus. This is a better choice for more complex operations, such as broadcasts,

that require lower latency and/or higher bandwidth. At the high-end, the synchronization hardware can interface directly to the memory bus in some workstations; however, the increase in performance is unlikely to outweigh the increase in cost. Regardless of which interface location is chosen, the latencies should be relatively low: from a few tens of cycles to a few hundreds of cycles.

The actual combining operation in the switch should be even faster. For example, since a barrier operation is simply a logical AND, it could be implemented as a large AND tree, with delays measured in nanoseconds. More complex operations such as integer reductions require more complex logic, but the basic combining operation will be at most a few processor cycles. Control logic, e.g., for partitioning or virtualizing the network, will introduce additional delays. Nonetheless, overheads will be minimal within the synchronization network.

We consider two possible hardware synchronization networks, each with different complexity and cost. The first is lower-complexity and lower-cost, supporting simple barriers and single-bit AND reductions; we call this network *HW-1*. We include the single-bit AND because some applications make heavy use of this reduction operation, and it requires hardware comparable in complexity to the simple barrier. The second is higher-complexity and higher-cost, supporting the HW-1 features plus the reductions described earlier and broadcasts; we call this network *HW-All*. We assume that the networks connect to the workstation's memory bus, which provides a low-latency, high-bandwidth interface.

The importance of hardware synchronization is magnified by longer network latencies. This is because software synchronization requires many (slower) messages, causing synchronization time to become a relatively larger portion of the total runtime. Thus synchronization hardware will have a relatively greater performance impact on NOWs than on MPPs.

## 2.3 Synchronization performance

We now measure the performance of hardware and software synchronization operations on our emulated NOW. We use a Thinking Machines CM-5 to emulate 32-node NOWs both with and without hardware synchronization support. To approximate the higher-latency messages of a NOW, we divide a 64-node CM-5 partition into 32 compute nodes and 32 *relay* nodes. The relay nodes increase message latency as follows: a message destined for node *N* is first sent to node *N+32*—a relay node—where it is delayed for the appropriate latency and then sent to node *N*. We do not use the compute nodes as relay nodes because doing so would perturb the computation on those nodes. For hardware synchronization, we use the CM-5's integrated synchronization network.

In addition to the basic 32-node NOW, some of our applications require the existence of a separate *host* node which connects to the 32 processing nodes. The broadcasts and many-to-one reductions are used in this "extended" NOW: the host broadcasts data to all 32 nodes and receives reduction results from all 32 nodes. The CM-5 directly implements this model with a separate workstation serving as the host.

Table 1 presents the latency, in microseconds, of each type of synchronization operation. These measurements were taken in the context of the software runtime environments for our benchmarks, which are discussed in Section 3. We consider two network latencies: CM-5 base message latency (approximately 10 µs), or "zero-delayed messages", and CM-5 messages delayed by 100 µs, or "100 µs-delayed messages". All software operations were optimized with respect to messaging latency and overhead; for example, the simple barriers for 100 µs messages yield the lowest latency when the butterfly pattern uses two phases, combining the nodes in groups of eight nodes in the first phase and then in groups of four nodes in the second phase.

| Synchronization type | Hardware | Software | |
|---|---|---|---|
| | | 0 delay | 100 µs |
| Simple barrier | 2.6 µs | 60 µs | 307 µs |
| 1-bit AND | 2.6 µs | 67 µs | 326 µs |
| 32-bit ADD | 2.7 µs | 67 µs | 326 µs |
| 32-bit ADD (to one node) | 3.2 µs | 118 µs | 283 µs |
| 64-bit MAX | 3.5 µs | 78 µs | 339 µs |
| Broadcast | 2.5 µs | 43 µs | 230 µs |

**TABLE 1. Synchronization Latency**

The individual hardware operations are roughly comparable in speed. This result is expected because the bulk of the latency is spent in the network-interface hardware, rather than in the actual combining hardware. The software operations range from one to two orders of magnitude slower than the hardware implementation, depending on the message latency.

# 3 Benchmark synchronization requirements

We consider three classes of applications: shared-memory (SM), message-passing (MP), and data-parallel Fortran (DP). Table 2 lists the benchmarks in each class, along with their input data sets and synchronization frequencies on the CM-5.

## 3.1 Shared-memory benchmarks

The shared-memory benchmarks are *barnes*, *DSMC*, *moldyn*, and *water*. *Barnes* uses the Barnes-Hut algorithm to calculate N-body interactions [26]. To obtain better speedup from *barnes*, we used a modified version which allocates free cells in a processor's local portion of shared memory, obviating the original global free cell pool. (This modification is similar to the newly-released SPLASH-2 version [30].) *Barnes* invokes barriers after creation and traveral of octrees, and after updates are made to global values. *DSMC* is a rarefied gas simulation, computing interactions between molecules in a 3D box [16]. *DSMC* invokes barriers after new molecules enter the box, after simulating the collision of molecules, and after moving molecules into new space cells. *Moldyn* calculates the motion of atomic particles based on forces acting on each particle from particles within a certain radius [16]. Barriers are invoked after calculating the forces on and velocities of molecules, and after updating the coordinates of molecules. *Water* is a molecular dynamics simulation, computing the interactions among water molecules [26]. For *water*, we use a modified version which is restructured to use barriers instead of locks; this version provides better performance on our platform. Barriers are invoked after updates to molecules.

All of these benchmarks were parallelized by hand using a locally-modified version of the PARMACS macro package and were run on Blizzard, a software system that provides fine-grain distributed shared memory on the Thinking Machines CM-5 [24]. Blizzard uses the user-level Stache protocol—a COMA-like invalidation protocol—to maintain sequentially consistent shared memory [22]. *Barnes* and *water* use Stache to maintain coherence on 128-byte blocks, and *DSMC* and *moldyn* maintain coherence on 1024-byte blocks.

## 3.2 Message-passing benchmarks

The message-passing benchmarks are *applu*, *dycore*, and the *Wisconsin Wind Tunnel* (*WWT*). *Applu* is a computational fluid dynamics code which solves five coupled parabolic/elliptic partial differential equations [1]. The computation consists of successive

| Benchmark | Class | Input | Synchronization frequency (events/second) | | |
|---|---|---|---|---|---|
| | | | Barriers | Integer reductions | Broadcasts |
| *barnes* | SM | 16,384 mols | 0.28 | n/a | n/a |
| dsmc | SM | 48,600 mols, 200 iter. | 33 | n/a | n/a |
| moldyn | SM | 2048 mols, 30 iter. | 107 | n/a | n/a |
| water | SM | 512 mols, reg. lattice | 2324 | n/a | n/a |
| applu | MP | 24x24x24, 30 iter | 1.01 | n/a | n/a |
| dycore | MP | 64x45 grid, 50 iter. | 1319 | n/a | n/a |
| *WWT* | MP | Appbt (8x8x8, 30 iter) | 2834 | 2834 | n/a |
| | | Tomcatv (128x128) | 2404 | 2405 | n/a |
| | | Sparse (128x128 dense) | 2856 | 2891 | n/a |
| | | Water (128 mols, 10 iter) | 10221 | 10227 | n/a |
| metspin | DP | 128x128 grid, 250 iter | 467 | 101 | 2565 |
| nbody | DP | 4096 bodies | 958 | 839 | 10009 |

**TABLE 2. Benchmark suite. Synchronization frequencies are as measured on the Thinking Machines CM-5.**

over-relaxation iterations with a barrier after each iteration. *Dycore* computes the equations of motion for a grid-point atmospheric global climate model [28]. Barriers are invoked between computation phases and near-neighbor communication. *Applu* and *dycore* use Blizzard's message-passing functions.

Unlike the above applications, *WWT* is a native CM-5 program. *WWT* is a parallel discrete-event simulator which simulates cache-coherent distributed-shared-memory multiprocessors [21]. *WWT* has much heavier and more diverse synchronization requirements: up to three types of synchronization may occur after every phase. First, after every *quantum* (100 cycles) of target-program execution, *WWT* must ensure that all messages sent during the current quantum have been received prior to the start of the next quantum. This is done with the *Network-Done* operation. We can implement Network-Done by either: (i) waiting until the number of messages received by all nodes equals the number sent by all nodes; or (ii) sending an explicit acknowledgment (ACK) for each message and having senders wait for their ACKs before entering the quantum barrier. Solution (i) requires repeated ADD reductions[1], while solution (ii) requires a simple barrier. Second, after every quantum, *WWT* also must determine if all nodes encountered a barrier in the target program during the last quantum. This can be accomplished with a single-bit AND reduction. In fact, if option (ii) above is used, the single-bit AND reduction can double as the barrier. Third, if all nodes indicate that they have arrived at a target-program barrier, each target node must set its local clock to the maximum time of all target nodes' local clocks, where the clocks are 64-bit values; this requires a 64-bit MAX reduction.

### 3.3 Data-parallel benchmarks

The data-parallel benchmarks are *metspin* and *nbody*, written in CM-Fortran and linked with a modified version of the CM-Fortran communication library. CM-Fortran employs a *host-node* model of computation, where a front-end host machine coordinates computation on a set of parallel nodes by broadcasting parallel functions and data to the nodes, and by receiving results from the nodes. In studying these applications, we assume that the 32-node NOW is connected to an additional workstation which functions as the host.

*Metspin* uses the Metropolis Monte Carlo algorithm to simulate an Ising spin model of a ferromagnet and calculate the energy and magnetization at a particular temperature [18]. The basic computation is successive over-relaxation, with red-black iterations performed on a 2-D grid. *Nbody* calculates the force between N bodies interacting via long-range forces [10]. Both *metspin* and *nbody* use barriers after cyclic shifts of arrays, broadcasts to distribute both code pointers and data to nodes, and a Network-Done operation to determine the completion of cyclic shifts of arrays. *Metspin* also invokes barriers after phases of near-neighbor computation, and performs many-to-one ADD reductions to the host to track the sum of all cells in the grid whose values have stabilized.

## 4 Performance results

This section presents the performance for our shared-memory benchmarks (Section 4.1), our message-passing benchmarks (Section 4.2), and our data-parallel benchmarks (Section 4.3) as we vary the synchronization method and the network latency.

### 4.1 Shared-memory benchmarks

We ran each of our shared-memory benchmarks on the emulated 32-node NOW with zero-delayed and 100 µs-delayed messages, comparing a system with the HW-1 synchronization network against a system with no synchronization hardware (these benchmarks do not need the added features of HW-All). Table 3 presents the resulting speedups: execution time on a single node divided by the execution time on 32 nodes.

Three of the four benchmarks—*barnes*, *DSMC*, and *moldyn*—perform little global synchronization[2], thus using the HW-1 network does not significantly improve performance regardless of the message latency. On the other hand, *water* synchronizes much more frequently, and thus hardware barrier support improves performance substantially. Performance improves 15% with fast messages and 41% with 100 µs-delayed messages.

In this study we used a restructured version of *water* [26] that uses barriers instead of locks as the primary synchronization operation. Surprisingly, this version runs consistently faster than the (original) locking version, even without hardware barrier support. Specifically, the barrier version is 58% faster than the locking version with software barriers and fast messages, and 77% faster with

1. The CM-5 network interface provides hardware support to automatically repeat the ADD reduction. However, *WWT* does not employ this feature because only certain messages need be counted.

2. Barnes makes extensive use of locks, but not barriers.

| Benchmark | 0 delay | | 100 µs delay | |
|---|---|---|---|---|
| | No HW | HW-1 | No HW | HW-1 |
| *barnes* | 12.09 | 11.99 | 9.65 | 9.65 |
| *DSMC* | 13.06 | 13.07 | 6.92 | 7.09 |
| *moldyn* | 8.91 | 9.13 | 3.50 | 3.60 |
| *water* | 5.81 | 6.66 | 2.87 | 4.06 |

**TABLE 3. Speedups for shared-memory benchmarks.**

software barriers and slow messages. With hardware support, the barrier version is 81% faster than the locking version with zero-delayed messages, and 2.5 times faster with 100 µs-delayed messages. This result illustrates that restructuring applications to avoid global synchronization may not always be easy.

## 4.2 Message-passing benchmarks

Table 4 presents the speedups for the message-passing benchmarks *applu* and *dycore*, comparing a system with HW-1 synchronization hardware against one without it. (These two benchmarks also do not require the added features of HW-All.) For *applu*, its low synchronization frequency implies minimal potential for improvement, regardless of the message latency. For *dycore*, with its higher synchronization frequency, hardware support yields a 11% improvement with zero-delayed messages and a 36% improvement with 100 µs-delayed messages.

| Benchmark | 0 delay | | 100 µs delay | |
|---|---|---|---|---|
| | No HW | HW-1 | No HW | HW-1 |
| *applu* | 15.84 | 15.90 | 12.98 | 13.05 |
| *dycore* | 8.94 | 9.96 | 5.85 | 7.93 |

**TABLE 4. Speedups for message-passing benchmarks *applu* and *dycore***

In contrast, *WWT* can utilize both the HW-1 and HW-All synchronization networks. In the system with software synchronization, the Network-Done operation uses explicit ACK messages[1], and the quantum barrier and maximum-target-barrier-time operations employ software reductions. With the HW-1 network, the quantum barrier uses the 1-bit AND reduction, Network-Done uses ACKs, and the maximum-target-barrier-time operation uses a software reduction. Finally, in the system with the HW-All network, the quantum barrier uses the 1-bit AND reduction, Network-Done uses the 32-bit ADD reduction, and the maximum-time operation uses the 64-bit MAX reduction.

Table 5 presents the speedups for *WWT*. The experiments involve *WWT* simulating a 32-node shared-memory multiprocessor, running four shared-memory programs with the *Dir1SW+* protocol [31]. We use four different programs in order to observe how different communication patterns affect *WWT's* performance.

We first examine the HW-1 system. We see that with zero-delayed messages, three of the four inputs exhibit modest improvement (no more than 17%), while Water exhibits a 54% improvement. Water has substantially less communication than the other four input programs, so the quantum barrier accounts for the bulk of the simulation time. Consequently, performing the quantum barrier in hardware has a greater overall impact for Water than for the other three inputs. With 100 µs-delayed messages, the HW-1 system has significantly greater impact: three of the four inputs improve by nearly 50%, and Water improves by a factor of three. The performance of the software single-bit AND reductions degrades substantially with the higher latency network, thus there is greater opportunity for improvement with hardware support.

| Message delay | Input | No HW | HW-1 | HW-All |
|---|---|---|---|---|
| 0 | Appbt | 8.55 | 10.04 | 9.76 |
| | Tomcatv | 10.82 | 12.60 | 12.39 |
| | Sparse | 7.04 | 8.07 | 8.62 |
| | Water | 6.96 | 10.72 | 10.32 |
| 100 µs | Appbt | 4.20 | 6.27 | 8.12 |
| | Tomcatv | 6.00 | 8.89 | 10.83 |
| | Sparse | 3.81 | 5.74 | 7.24 |
| | Water | 2.48 | 7.45 | 8.83 |

**TABLE 5. Speedups for *WWT* benchmark**

We next examine the HW-All system. We first notice that with zero-delayed messages, for all inputs except Sparse, the HW-All system is actually slower than the HW-1 system. This slowdown is tied to the method used for performing Network-Done. With HW-All, WWT uses the repeated ADD reduction, otherwise it uses explicit ACKs. The former requires two (hardware) synchronization operations, while the latter takes only one (after the ACKs). If no messages are sent, then no ACKS are either. Thus, the HW-1 system will outperform the HW-All system if the target application has "low enough" communication. For all inputs except Sparse, the communication is in fact quite low: Appbt and Tomcatv are stencil computations with small data sets, and Water communicates in only 15% of the quanta. Sparse, on the other hand, requires multiple broadcasts and a reduction each iteration. With 100 µs-delayed messages, the HW-All system consistently outperforms the HW-1 system. This is because the cost of ACKs increases drastically, shifting the balance toward HW-All's repeated reductions.

## 4.3 Data-parallel benchmarks

Table 6 presents speedups for the data-parallel applications. In the system without synchronization hardware, the barriers, reductions, and broadcasts are implemented using messages, and the Network-Done operation is implemented with ACKs and a barrier, similar to the *WWT* implementation[2]. In the HW-1-based system, the barriers are performed in hardware, with all other operations as in the software-only system. Finally, in the HW-All-based system, the barriers, reductions, and broadcasts are all implemented in dedicated hardware, with the Network-Done operation using a hardware reduction.

For the software-only and HW-1 systems, we "throttled" the software broadcasts to avoid excessive congestion on the CM-5 data network [5]. We found that throttling resulted in significant improvements in broadcast performance. We determined the delay parameters experimentally.

We first examine the HW-1 system. With zero-delayed messages, the hardware synchronization provides only modest benefit: 16% for *metspin* and 4% for *nbody*. This result is unsurprising given the low barrier frequencies of these applications. *Nbody* benefits less because its higher broadcast frequency dominates communication and its runtime. With 100 µs-delayed messages, HW-1 yields a 28% improvement for *metspin* and no noticeable improvement for *nbody*.

1. We found that performing Network-Done with ACKs was faster than performing it with a software reduction.

2. The ACK-based method was again faster than software reductions.

| Msg delay | Benchmark | No H/W | HW-1 | HW-All |
|---|---|---|---|---|
| 0 | *Metspin* | 4.01 | 4.67 | 6.53 |
| | *Nbody* | 6.39 | 6.68 | 10.75 |
| 100 µs | *Metspin* | 3.28 | 4.23 | 6.12 |
| | *Nbody* | 4.26 | 4.26 | 10.76 |

**TABLE 6. Speedups for data-parallel benchmarks**

We now examine the HW-All system. This system yields much greater performance improvement—62% for *metspin*, and 68% for *nbody.* For both applications, the bulk of the improvement comes from hardware broadcasts. Since *nbody* broadcasts more often than *metspin*, we would expect that *nbody* would benefit significantly more from broadcast hardware. However, *metspin*'s computation-to-communication ratio is lower, and the separate synchronization network prevents broadcast traffic from interfering with data traffic. As evidence of *metspin's* higher degree of data network contention, we found that throttling software broadcasts improved *metspin's* performance by 44% and *nbody's* by only 4%.

With 100 µs-delayed messages, HW-All yields an 87% improvement for *metspin* and a factor of 2.5 improvement for *nbody.* In fact, the overall performance is essentially independent of the data message latency: *metspin* performs within 6% and *nbody* within 1%. This indicates that broadcasts account for a large fraction of the total communication time for these benchmarks.

# 5 Cost/performance

In this section, we examine the cost/performance trade-offs for global synchronization hardware. Section 5.1 presents a break-even model for cost/performance, Section 5.2 examines the expected cost of synchronization hardware, and Section 5.3 presents the cost/performance break-even points for our workloads.

## 5.1 A cost/performance model

Intuitively, a performance enhancement is cost-effective only if the increase in performance exceeds the increase in cost. Wood and Hill [32] recently formalized this intuition by showing that parallel computing is more cost-effective than uniprocessor computing whenever the following inequality holds:

$$speedup(p) > costup(p)$$

where *speedup(p)* is the runtime on one processor divided by the runtime on *p* processors, and *costup(p)* is the cost of a *p*-processor system divided by the cost of a 1-processor system. In particular, they show that when memory is a significant fraction of uniprocessor cost, parallel computing can be cost-effective even with very low speedups.

This same intuition also applies to add-on synchronization hardware for a parallel computer. Synchronization hardware will be cost-effective if the performance improvement is greater than the increase in cost:

$$speedup(synch\ hardware) > costup(synch\ hardware)$$

To quantify this, let the costs for a base NOW (without hardware synchronization support) be $C_{base}$ and for additional synchronization hardware be $C_{synch}$. Then the cost of a NOW with hardware synchronization support is $C_{base} + C_{synch}$. Let the runtime of a workload *W* on the base NOW be $T_{base}(W)$ and on the NOW with hardware synchronization support be $T_{synch}(W)$. Then synchronization hardware is more cost-effective whenever:

$$\frac{T_{base}(W)}{T_{synch}(W)} > \frac{C_{base} + C_{synch}}{C_{base}} \qquad (1)$$

$T_{base}(W)$ and $T_{synch}(W)$ assume a fixed workload *W* that could either be a single application or the weighted mean of the runtimes of many different jobs.

To make our results independent of any particular hardware implementation, we calculate the break-even cost [19] for add-on synchronization hardware by making Equation 1 an equality and solving for $C_{synch}$: This yields the breakeven cost:

$$C^*_{synch} = C_{base}\left(\frac{T_{base}(W)}{T_{synch}(W)} - 1\right) \qquad (2)$$

Synchronization hardware will be cost-effective (for a particular workload *W)* if its actual cost is less than the break-even cost.

## 5.2 System cost

Without loss of generality, we make $C^*_{synch}$ more concrete by choosing reasonable estimates of the base system cost, $C_{base}$, and synchronization hardware, $C_{synch}$. In this section and throughout the rest of the paper, we treat $C_{base}$, $C_{synch}$, and $C^*_{synch}$ as per-node costs, with the cost of shared resources (e.g. network routers) amortized over all nodes.

$C_{base}$ depends heavily on the particular choice of workstation node. List prices can range from a few thousand dollars for an IBM-compatible PC to many tens of thousands of dollars per node for a high-end workstation, depending on the processor speed, memory capacity, and I/O configuration. Similarly, fast networks range from approximately $2,000 per node for Myrinet (adapter plus switches) to over $5,000 per node for Fore's ATM network. For the purposes of this paper, we assume $C_{base}$ is $20,000: $10,000 for the processor and cabinet, $5,000 for 64 megabytes of memory, and $5,000 for the network adaptor and switch connection. These *list* prices roughly match those of the Wisconsin COW, our local network of dual-processor SPARCstation 20s.

$C_{synch}$ depends on the cost of the synchronization-network switch and workstation interface. For hardware that only supports simple barriers, the switch cost is a minor component, since it can be implemented using a few standard PALs. For example, Dietz, et al., estimate that the PAPERS add-on hardware—which interfaces to the workstation's parallel port and implements simple barriers and binary reductions—has a parts cost of less than $50 [9]. We assume a commercial implementation of PAPERS would cost at least $100 per node. More complex functionality—for example, integer or floating-point reductions—requires more expensive hardware such as FPGAs or ASICs. Interfacing to the workstation's I/O or memory bus can also be expensive, particularly for low-volume parts like synchronization hardware. Nonetheless, it seems reasonable that the total per-node cost for synchronization hardware should not exceed the cost of high-end network hardware. Thus we assume that synchronization hardware will always cost less than $5,000 per node.

Compared to a uniprocessor with the same total memory capacity, the base 32-node NOW (without synchronization hardware) is cost effective with very low speedups. Specifically, to be cost effective an application need achieve a speedup of only 3.7 on 32 nodes, or a parallel efficiency of only 15%. This follows because memory constitutes 94% of the uniprocessor cost.

Compared to the base NOW, add-on synchronization hardware will be cost-effective whenever the actual cost $C_{synch}$ is less than the break-even cost $C^*_{synch}$. Compared to the uniprocessor, the enhanced NOW must achieve a greater speedup to offset the increased cost. However, even at an additional $2,000 per node, applications need only achieve speedups of 4.1 on 32 nodes.

## 5.3 Results

From the performance results from Section 4, we calculate the weighted means of $T_{base}$ and $T_{synch}$ for our entire combined workload, weighting each application by its fraction of the cumulative

| Application | 0 delay | | 100 µs delay | |
|---|---|---|---|---|
| | HW-1 | HW-All | HW-1 | HW-All |
| barnes | never | never | never | never |
| DSMC | never | never | $482 | $482 |
| moldyn | $484 | $484 | $613 | $613 |
| water | $2908 | $2908 | always | always |
| applu | never | never | $101 | $101 |
| dycore | $2287 | $2287 | always | always |
| WWT | always | always | always | always |
| metspin | $3279 | always | always | always |
| nbody | $899 | always | never | always |
| Aggregate | $1694 | $4104 | $4515 | always |

**TABLE 7. Break-even cost of synchronization hardware**

runtime of all applications in the workload. For the WWT application, we used the average runtime of all four inputs.

Table 7 presents the values of $C^*_{synch}$ for the individual applications and the aggregate workload. For clarity, values less than $100 are indicated by <$100 (never cost-effective); values greater than $5000 are indicated by >$5000 (always cost-effective). For our aggregate workload on a system with zero-delayed messages, we find that synchronization hardware is cost-effective when the hardware costs less than 8% of the system cost, or $1700 given our cost assumptions. On a system with 100 µs-delayed messages, synchronization is cost-effective when the hardware costs less than 23% of the system cost, or $4500 given our cost assumptions.

These results indicate that simple synchronization hardware, i.e., HW-1, is likely to be justifiable for a system with a fast data network, since such hardware can easily be built for much less than $1700. More complex hardware like HW-All is less clearly justifiable, due to its higher cost and greater design requirements.

With a slow data network, synchronization hardware is nearly always cost-effective. If the extra hardware costs $2,000 per node, then 11 of the 12 workloads are cost-effective compared to a uniprocessor with equal memory capacity.

Focusing on individual benchmarks, we see that of the shared-memory benchmarks, only *water* strongly motivates purchasing synchronization hardware; the cost-effectiveness of synchronization hardware for the other three is doubtful. However, shared-memory overheads in Blizzard are quite high; reducing these overheads, e.g., with hardware support for shared memory, will increase the relative benefit of synchronization hardware.

For our message-passing benchmarks, only *dycore* and *WWT* motivate purchasing synchronization hardware. For *WWT*, synchronization hardware makes sense regardless of message latency. *WWT* is unique compared to the rest of our workload in that it must synchronize to ensure that no messages are in flight.

For our data-parallel benchmarks, the HW-All network is cost-effective and economically feasible regardless of message latency, and is arguably necessary in order to garner acceptable speedups. The parallel function invocations from the host processor heavily utilize the HW-All network; a decentralized SPMD-style computational model would lessen this dependency.

# 6 Related work

Numerous proposals for add-on synchronization hardware have recently appeared. PAPERS [9] is a low-cost synchronization network which supports fine-grain execution on workstation clusters, specifically operations on data aggregates as in a data-parallel program and VLIW-style execution. Among other operations, PAPERS supports a simple barrier and a single-bit AND operation, both with latencies of 2 µs. The design interfaces to a network of PCs, with connections through each PC's parallel port. Hall and Driscoll's COP network [11] provides synchronization support equivalent to the HW-All network; they claim that its cost is 2-3% of overall system cost, which for our workloads is clearly cost-effective. Shang and Hwang have designed add-on synchronization hardware for cluster-based multiprocessors, allowing synchronization to be performed both within and between clusters [25]. The ALLNODE barrier hardware uses the broadcast facility of the Allnode switch to perform barrier operations; an arbitrary number of nodes can synchronize in a few microseconds, and the mechanism consumes less than 5% of network bandwidth [17].

Other machines besides the T3D, VPP500, and CM-5 have provided synchronization hardware separate from the data network. PASM, a hybrid SIMD/MIMD machine, uses its SIMD synchronous instruction-fetch mechanism as a barrier when in MIMD mode [6]. A MIMD version of a FFT benchmark with the hardware barrier support was 39% faster than a MIMD version without the hardware barrier.

Fast barrier synchronization has been found to speed up specific patterns of communication and computation. Brewer and Kuszmaul found that using the hardware barrier on the CM-5 to limit the rate of message injection and limit congestion improved performance by more than a factor of three [5]. The direct deposit message-passing library of Stricker et al. [27] uses hardware barriers, rather than employing buffering or handshaking, to ensure that messages have been delivered to their destination. For a 2-D FFT code, their system runs approximately 2.8 times faster than an optimized request-response message-passing library. Ramakrishnan, et al., [20] present two methods for efficiently supporting deep control nesting in data-parallel programs by using synchronization hardware. The first solution employs a pair of single-bit OR and AND reductions and code transformations, and the second solution requires a MAX reduction but no code transformations.

Additional work has looked at synchronization hardware specifically for parallel discrete-event simulators. Reynolds proposed a separate synchronization network to compute minimum next-event times, minimum timestamps of unacknowledged messages, and to compute Network-Done [23]. Beaumont, et al., propose using FPGAs to dynamically synthesize application-specific hardware synchronization for a desired simulator [2].

# 7 Summary and conclusions

This paper examined the cost/performance trade-offs of adding a separate synchronization network to a network of workstations. We studied the synchronization requirements of three important classes of applications: shared-memory, message-passing, and data-parallel Fortran. We experimentally measured the performance benefit obtained from hardware synchronization support. We combined these experimental results with cost estimates to calculate the cost/performance break-even point where hardware synchronization support becomes cost-effective.

- For our aggregate workload, add-on synchronization hardware is cost-effective if it costs less than 8% of the base system cost, for a fast data network, and less than 23% of the base system cost, for a slow data network.
- Compared to a uniprocessor system with the same total memory capacity, a slow-network NOW is cost effective for 11 of 12 workloads with add-on synchronization hardware that costs $2,000 per node. This is compared to being cost-effective for only 8 workloads without it.
- Individual applications may benefit much more from synchronization hardware. Among our applications, the HW-1 hardware improves performance by up to 54% on a NOW with the

fast data network and up to a factor of 3 with the slow data network. The HW-All hardware yields further improvement, increasing performance by factors of up to 2.5 and 3.6, for the two network latencies.

Our results show that global synchronization hardware can be a cost-effective addition to a NOW for some workloads. On the other hand, nearly half our applications received little benefit from synchronization support. A key advantage of add-on synchronization hardware—as opposed to the integrated synchronization hardware in most MPPs—is that only those people that can benefit from it need to buy it.

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